

**Kinetics of Cloud Drop Formation and Its Parameterization**  
**for Cloud and Climate Models**

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## Abstract

To study the kinetics of drop nucleation in clouds, the integro-differential equation for integral water supersaturation in cloud is derived and analyzed. Solving the supersaturation equation with an algebraic form of the cloud condensation nuclei (CCN) activity spectrum, analytical expressions are obtained for the time  $t_m$  of CCN activation process, the maximum supersaturation  $s_m$ , and droplet concentration  $N_{dr}(s_m)$ , limited by the total aerosol concentration at high supersaturations. All 3 quantities are expressed as functions of vertical velocity and characteristics of the CCN size spectra: mean geometric radius, dispersion, and parameters of solubility. A generalized power law for the drop activation,  $N_{dr}(s_m) = C(s_m) s_m^{k(s_m)}$ , is formulated that is similar in form to the Twomey's power law, but both the coefficient  $C(s_m)$  and index  $k(s_m)$  are functions of supersaturation expressed analytically in terms of vertical velocities and CCN microphysical parameters.

A simple and economical numerical solution was developed that describes all of these characteristics without conducting numerous simulations using parcel models. An extended series of numerical experiments was performed, in which the dependencies of  $t_m$ ,  $s_m$ ,  $N_{dr}(s_m)$ ,  $C(s_m)$ ,  $k(s_m)$ , and several other important characteristics of activation process were studied as functions of vertical velocity and physico-chemical properties of the aerosol. In particular, it is shown that a decrease in the condensation coefficient  $\alpha_c$  leads to slower CCN activation, and higher maximum supersaturation and droplet concentration. Uncertainties in  $\alpha_c$  may prevent correct estimates of the direct and indirect aerosol effects on climate. The solutions and expressions for the parameters presented here can be used for parameterization of the drop activation process in cloud and climate models.

## 1. Introduction

The concentration of cloud drops is an important parameter for both cloud and climate models. Precise evaluation of  $N_{dr}$  is required for simulation of the planetary albedo, cloud optical properties, and precipitation. In the initial stages of cloud formation, the number of cloud drops is directly related to the number of cloud condensation nuclei (CCN) that are activated. The CCN activity spectra are governed by the size and chemical composition of the aerosol particles and also the local supersaturation.

The CCN differential activity spectrum is written as:

$$\varphi_s(s) = dN_{dr}(s)/ds, \quad (1.1)$$

where  $N_{dr}$  is the number of cloud drops that are nucleated, and corresponds directly to the number of CCN that are activated at supersaturation  $s$ . The number of CCN actually activated is determined by the maximum supersaturation  $s_m$  reached in a cooling cloud parcel during CCN activation, which is determined by two competing processes: supersaturation generation due to adiabatic cooling and supersaturation absorption due to condensation growth of the newly formed drops. The final  $N_{dr}$  formed after the supersaturation has reached  $s_m$  is given by

$$N_{dr}(s) = \int_0^{s_m} \varphi_s(s') ds'. \quad (1.2)$$

which is referred to as the integral CCN activity spectrum (e.g., Pruppacher and Klett 1997, hereafter PK97). Thus, parameterization of  $N_{dr}$  according (1.2) requires knowledge of both  $\varphi_s(s)$  and  $s_m$ .

Among the various methods for parameterizing the differential CCN spectrum  $\varphi_s(s)$ , we consider three different mathematical approaches: power law, lognormal and algebraic. The most commonly used power law approach was introduced by Twomey (1959)

$$\varphi_s(s) = C_T k s^{k-1}, \quad (1.3)$$

where the constant  $C_T$  and the index  $k$  are typically empirically determined. Substitution of (1.3) into (1.2) yields the corresponding power law

$$N_{dr}(s_m) = C_T s_m^k, \quad (1.4)$$

which has been widely used in cloud physics for almost five decades. The drawbacks of this parameterization are: the value of  $N_{dr}$  is not limited at high  $s_m$  and can exceed total CCN concentration  $N_a$ , and the parameters  $C_T$  and  $k$  are usually determined empirically from measurements of  $N_{dr}$  at varying values of  $s$  and are not related directly to the aerosol microphysical properties. Cloud chamber experiments have found a decrease of the index  $k$  with increasing  $s$  (e.g., Jiusto and Lala 1981; Ji and Shaw 1998; Yum and Hudson 2001; PK97), and hence there is no unique value of  $k$ . Subsequently, the index  $k$  has been related to the slopes  $\nu$  of the aerosol size spectra by radius  $r$  in the form of inverse power laws as  $f_a(r) \sim r^{-\nu}$  and aerosol soluble fraction (e.g., Buikov 1966; Levin and Sedunov 1966; Sedunov 1974; Jiusto and Lala 1981; Khvorostyanov and Curry 1999, hereafter KC99). This representation has shed some light on the nature of  $k$  and the power law (1.4), but if  $\nu$  is constant independent of radius, this representation cannot explain the decrease of  $k$  with increasing  $s$  and does not solve the problem of unlimited increase of  $N_{dr}$  at high  $s$ .

Approximation of the aerosol size spectra by lognormal distributions leads to the same lognormal shape of  $\varphi_s(s)$  and to parameterizations of  $N_{dr}(s)$  expressed via the *erf* function that are limited at high values of  $s$  by the number concentration of aerosols  $N_a$  (e.g., von der Emde and Wacker 1993; Ghan et al. 1993, 1995; 1997; Abdul-Razzak et al. 1998; Abdul-Razzak and Ghan 2000; Nenes and Seinfeld 2003; Rissman et al. 2004; Fountoukis and Nenes 2005; Khvorostyanov and Curry 2006, 2007). The algebraic approach (Cohard et al. 1998, 2000) was based on a correction to Twomey's 2-parametric power law (1.3) by constructing  $\varphi_s(s)$  in the form of an algebraic function with 4 empirical parameters. This introduced a correction to  $N_{dr}(s)$

in the form of the Gauss hypergeometric function that ensured finite  $N_{dr} \leq N_a$  at high values of  $s$ , but added uncertainty in the choice of the 4 parameters.

The relationships among these three approaches were vague, and the degree of their agreement or disagreement was unclear. Each of these parameterization approaches is being used in cloud and climate models (with dominance of the power law). Khvorostyanov and Curry (2006, 2007, hereafter KC06, KC07) reconciled these three models by identifying the links and correspondence among their mathematical formulations and showed their equivalence. Further, a functional dependence of  $\varphi_s(s)$  and  $N_{dr}(s)$  was derived in KC06 as a generalized quasi-power law, similar to (1.3), (1.4) but with  $C_T(s)$  and  $k(s)$  as continuous analytical functions of  $s$ . These parameters were expressed directly via the aerosol physico-chemical parameters, and their decrease with  $s$  was such that it ensured the limit  $N_{dr}(s) \leq N_a$ .

KC06, KC07 considered the activity spectra  $\varphi_s(s)$ , but treated supersaturation as a continuous variable, and hence the method developed in KC06, KC07 can be used as a refinement to the power law used in cloud models with prognostic supersaturation (e.g., in Morrison and Grabowski 2007), or in the analysis of chamber experiments, where the maximum supersaturation  $s_m$  can be calculated or measured and then  $N_{dr}(s_m)$  determined (e.g. Yum and Hudson 2001; Kreidenweis et al. 2005; Svenningsson et al. 2006). Unfortunately, evaluation of supersaturation is a time-consuming procedure, and few cloud models such as short-term integrations of bin resolving models can afford the short time steps required to evaluate supersaturation explicitly as a prognostic variable. Bulk cloud and climate models do not have prognostic supersaturation equations, and thus have to use parameterizations for  $s_m$  and  $N_{dr}$  in terms of the predicted variables, most often expressing them as a function of the vertical velocity  $w$  similar to Twomey's (1959) original parameterization (e.g., Ghan et al. 1997; Lohmann et al. 1999; Saleeby and Cotton, 2004; Morrison et al., 2005a,b).

When  $s$  is not an independent continuous variable, but constrained by  $s_m$  due to interaction of the dynamical and microphysical factors, the applicability of the power law (1.4) becomes unclear. To ensure finite  $N_{dr} \leq N_a$  at high values of  $s$ , the indices  $k$  and coefficients  $C_T$  should be different at low and high values of  $s_m$ . However, it is uncertain in general, if  $s_m$  and the function  $N_{dr}(s_m)$  can be described by the power law or quasi-power law with variable  $k$  and  $C_T$ . If so, analytical expressions are needed for  $s_m$  and  $N_{dr}$  that relate the values of  $k$  and  $C_T$  to aerosol microphysics and clouds dynamics.

Recent research has concentrated mostly on analytical parameterizations of the drop concentration, and their comparison with more precise parcel models. The use of the parcel models for tuning coefficients of parameterizations may bring in an additional uncertainty because of unavoidable differences among the models, e.g., in the radius size grids, time steps, various finite-difference approximations of supersaturation and drop growth equations, etc.

Further, variations in the basic assumptions (e.g., neglect of kinetic correction in the droplet growth rate, or variations in the condensation coefficient  $\alpha_c$ ) may require retuning the coefficients and rerunning the parcel models. Twomey (1959) and subsequent similar analytical parameterizations ignored the kinetic corrections. Abdul-Razzak et al. (1998), Abdul-Razzak and Ghan (2000) used a fixed value of the condensation coefficient  $\alpha_c$  and noted that the use of any other value would require additional tuning with the parcel model. Fountoukis and Nenes (2005) accounted for the effects of  $\alpha_c$  by averaging the effective vapor diffusion coefficient over some radius range, which requires additional determination of the limits of averaging, but did not present the explicit dependence  $N_{dr}(\alpha_c)$ .

Thus, a method for calculating drop activation kinetics is desirable that is not based on the parcel models, and allows changes in the basic assumptions like the kinetic correction to be accommodated more easily. For models with longer time steps that cannot accommodate a prognostic equation for supersaturation, a parameterization is needed that accounts for the time  $t_m$

during which supersaturation reaches the value  $s_m$  and CCN activation proceeds, or the thickness of the activation layer. This requires analysis of the dependencies of  $t_m$ ,  $s_m$ ,  $N_{dr}$ , and other characteristics on the parameters of the CCN size spectra and chemical composition.

This paper extends the power law for drop activation by deriving relatively simple equations for  $t_m$ ,  $s_m$ ,  $N_{dr}$  in the form of the quasi-power laws that are functions of the vertical velocity and parameters of aerosol size spectra and chemical composition. In section 2, the basic assumptions on aerosol size spectra and activity spectra are briefly outlined. An equation for integral supersaturation is derived in section 3 and its solution is given in section 4 and compared with the previous solutions and parameterizations. Calculations of the kinetics of CCN activation and its major characteristics with variations of the input parameters over a wide range are described in section 5. Summary and conclusions are formulated in section 6.

## 2. CCN size and activity spectra

We assume that the size spectrum of dry aerosol  $f_{ad}(r_{ad})$  by the dry radii  $r_{ad}$  can be approximated by the lognormal distribution with the aerosol number concentration  $N_a$ , the dispersion of the size spectrum  $\sigma_d$ , and the mean geometric radius  $r_{d0}$  related to the modal radius  $r_m$  as  $r_m = r_{d0} \exp(-\ln^2 \sigma_d)$ . It was shown in KC06 that this lognormal spectrum is approximated with high accuracy by the algebraic spectrum

$$f_{ad}(r_{ad}) = \frac{k_{d0} N_a}{r_{d0}} \frac{(r_{ad} / r_{d0})^{k_{d0}-1}}{[1 + (r_{ad} / r_{d0})^{k_{d0}}]^2}, \quad (2.1a)$$

where the index  $k_{d0}$  is as that introduced by Ghan et al. (1993)

$$k_{d0} = \frac{4}{\sqrt{2\pi} \ln \sigma_d}. \quad (2.1b)$$

This KC06 size spectrum behaves as the power law  $f_{ad} \sim r_{ad}^{k_{d0}-1}$  at small  $r_{ad} \ll r_{d0}$ , and the Junge-type inverse power law  $f_{ad} \sim r_{ad}^{-\nu}$  with  $\nu = k_{d0} + 1$  at large  $r_{ad} \gg r_{d0}$ . For typical

lognormal dispersions  $\sigma_d = 1.49, 1.7,$  and  $2.2$ , the indices  $\nu = 5, 4,$  and  $3$  respectively, which are the typical indices of the Junge spectra (Junge 1963; PK97). Similar values are obtained from the coagulation theory (PK97). This justifies the physical meaning of the algebraic spectrum (2.1a), which can be used as a generalization of the inverse power law, especially in the problems where the integrals of  $f_{ad} \sim r_{ad}^{-\nu}$  diverge at small  $r$  as in aerosol optics. The size spectrum (2.1a) is related to the CCN activity spectrum using the Köhler equation for supersaturation  $s = (\rho_v - \rho_{vs})/\rho_{vs}$  that can be written for dilute solutions as (PK97, Curry and Webster 1999):

$$s = \frac{A_k}{r} - \frac{B}{r^3}. \quad (2.2)$$

$$A_k = \frac{2M_w \zeta_{sa}}{RT\rho_w}, \quad B = \frac{3\nu\Phi_s m_s M_w}{4\pi M_s \rho_w}. \quad (2.3)$$

Here  $\rho_v, \rho_{vs}$  and  $\rho_w$  are the densities of vapor, saturated vapor and water,  $A_k$  is the Kelvin curvature parameter,  $M_w$  is the molecular weight of water,  $\zeta_{sa}$  is the surface tension at the solution-air interface,  $R$  is the universal gas constant,  $T$  is the temperature. The parameter  $B$  describes effects of the soluble fraction,  $\nu$  is the number of ions in solution,  $\Phi_s$  is the osmotic potential,  $m_s$  and  $M_s$  are the mass and molecular weight of the soluble fraction.

It is convenient to parameterize the soluble fraction of CCN and parameter  $B$  following Levin and Sedunov (1966), KC99, KC06, KC07 as

$$B = br_{ad}^{2(1+\beta)}, \quad (2.4)$$

where the parameters  $b$  and  $\beta$  depend on the chemical composition and physical properties of the soluble part of an aerosol particle. The value  $\beta = 1/2$  corresponds to the soluble fraction of an aerosol particle proportional to its volume (as for internally mixed aerosol) and the value  $\beta = 0$  corresponds to the soluble fraction proportional to the surface (e.g., for a dust particle covered by a soluble film; Levin et al. 1996; Wurzler et al. 2000; Bauer and Koch 2005).



The parameter  $b$  can be expressed via the quantities defined in (2.3). For  $\beta = 0.5$ ,  $b$  is a dimensionless parameter:

$$b = (\nu\Phi_s)\varepsilon_v \frac{\rho_s}{\rho_w} \frac{M_w}{M_s}. \quad (2.5)$$

where  $\rho_s$  is the density of the soluble fraction,  $\varepsilon_v$  is its volume fraction. For  $\beta = 0$ , the particle volume fraction was parameterized in KC99, KC06, KC07 as  $\varepsilon_v(r_{ad}) = \varepsilon_{v0}(r_{ad1}/r_{ad})$ , where  $r_{ad1}$  is some scaling parameter and  $\varepsilon_{v0}$  is the reference soluble fraction (dimensionless).

$$b = r_{ad1}\varepsilon_{v0}(\nu\Phi_s) \frac{\rho_s}{\rho_w} \frac{M_w}{M_s}. \quad (2.6)$$

For this case,  $b$  has the dimension of length and is proportional to the scaling radius  $r_{ad1}$ .

The size spectrum (2.1a) corresponds to the lognormal differential activity spectrum (e.g., Abdul-Razzak et al. 1998; Fountoukis and Nenes 2005; KC06, KC07). In KC06, KC07, this was formulated as

$$\varphi_s(s) = \frac{N_a}{\sqrt{2\pi}(\ln\sigma_s)s} \exp\left[-\frac{\ln^2(s/s_0)}{2\ln^2\sigma_s}\right], \quad (2.7)$$

where we introduced the mean geometric supersaturation  $s_0$  and the supersaturation dispersion  $\sigma_s$ :

$$s_0 = r_{d0}^{-(1+\beta)} \left(\frac{4A_k^3}{27b}\right)^{1/2}, \quad (2.8)$$

$$\sigma_s = \sigma_d^{(1+\beta)}. \quad (2.9)$$

The modal supersaturation  $s_m$  is related to  $s_0$  analogously to the dry size spectrum,

$$s_m = s_0 \exp(-\ln^2\sigma_s).$$

It was found in KC06, KC07 that the lognormal size spectrum and activity spectrum (2.7) or algebraic size spectrum (2.1a) correspond to the algebraic differential activity spectrum

$$\varphi_s(s) = \frac{k_{s0}N_a}{s_0} \frac{(s/s_0)^{k_{s0}-1}}{[1+(s/s_0)^{k_{s0}}]^2} \quad (2.10)$$

$$= k_{s0} C_0 s^{k_{s0}-1} (1 + \eta_0 s^{k_{s0}})^{-2}, \quad (2.11)$$

where the index  $k_{s0}$  is an analog in  $s$ -space of  $k_{d0}$  defined in (2.1b) for  $r_d$  - space

$$k_{s0} = \frac{4}{\sqrt{2\pi} \ln \sigma_s} = \frac{4}{\sqrt{2\pi} (1 + \beta) \ln \sigma_d}, \quad (2.12)$$

$$C_0 = N_a s_0^{-k_{s0}} = N_a \left( \frac{27b}{4A_k^2} \right)^{k_{s0}/2} (r_{d0})^{k_{s0}(1+\beta)}, \quad (2.13)$$

$$\eta_0 = s_0^{-k_{s0}} = \left( \frac{27b}{4A_k^2} \right)^{k_{s0}/2} (r_{d0})^{k_{s0}(1+\beta)}. \quad (2.14)$$

The first term before the parenthesis in (2.11) resembles Twomey's power law (1.3); however, the parameters ( $C_0, k_{s0}$ ) in (2.11) are not empirical but are expressed via the aerosol physico-chemical properties. The second term in parenthesis in (2.11) decreases at large  $s \gg s_0$  as  $s^{-2k_{s0}}$ , ensuring the asymptotic decrease  $\varphi_s(s) \sim s^{-k_{s0}-1}$  and serving effectively as a correction to the Twomey law since it prevents an unlimited growth of  $N_{dr}(s)$  at large supersaturation.

Droplet or CCN concentration in algebraic form was derived in KC06, KC07 by integration of the algebraic CCN spectrum  $\varphi_s(s)$  (2.10) or (2.11) over  $s$ :

$$\begin{aligned} N_{dr}(s) &= N_{CCN}(s) = \int_0^s \varphi_s(s') ds' \\ &= N_a \frac{(s/s_0)^{k_{s0}}}{[1 + (s/s_0)^{k_{s0}}]} = C_0 s^{k_{s0}} [1 + \eta_0 s^{k_{s0}}]^{-1}, \end{aligned} \quad (2.15)$$

where  $C_0, k_{s0}$ , and  $\eta_0$  are defined in (2.12) - (2.14). Again, the first term resembles Twomey's power law for the integral activity spectrum (1.4), and the second term in parentheses is a correction that ensures finite  $N_{dr}(s)$  at high  $s$ . It was shown in KC06 that these expressions can be written as a generalized quasi-power law

$$N_{dr}(s) = C(s) s^{k(s)}, \quad (2.16)$$

where the coefficient  $C(s)$  and index  $k(s)$  are the functions of supersaturation

$$C(s) = N_a (s) s^{-k(s)} = C_0 s^{\chi(s)} [1 + (s/s_0)^{k_{s0}}]^{-1}, \quad (2.17)$$

$$k(s) = k_{s0} [1 + (s/s_0)^{k_{s0}}]^{-1}, \quad (2.18)$$

$$\chi(s) = k_{s0} (s/s_0)^{k_{s0}} [1 + (s/s_0)^{k_{s0}}]^{-1}. \quad (2.19)$$

These equations are used in the next sections to derive expressions for  $t_m$ ,  $s_m$ , and  $N_{dr}$  as functions of vertical velocity and the aerosol microphysical parameters.

### 3. Equations for supersaturation

When an air parcel rises adiabatically, the relative humidity increases as the parcel cools, reaching saturation at some level, and then CCN activation begins as the parcel becomes slightly supersaturated. The parcel supersaturation is governed by two competing processes: supersaturation generation by the rising motion and supersaturation absorption by the drops in the condensation process. This process can be described by the supersaturation equation, which we use in the form from Khvorostyanov and Curry (2005):

$$\frac{1}{(1+s)} \frac{ds}{dt} = c_1 w - \frac{\Gamma_1}{\rho_v} I_{con}, \quad (3.1)$$

where

$$c_1(T) = \left( \frac{L_e}{c_p T} \frac{M_w}{M_a} - 1 \right) \frac{g}{R_a T}, \quad (3.2)$$

$L_e$  is the specific latent heat of evaporation,  $c_p$  is the specific heat capacity of air,  $T$  is the temperature,  $R_a$  is the specific gas constant for air,  $M_w$  and  $M_a$  are the molecular weights for water and dry air, and  $\Gamma_1$  is the psychrometric correction associated with the latent heat release at condensation

$$\Gamma_1 = 1 + \frac{L_e^2}{c_p R_v T^2} \frac{\rho_{ws}}{\rho_a}. \quad (3.3)$$

The vapor flux  $I_{con}$  to the droplets is the integral of the mass growth rate over the size spectrum that is expressed via growth rate  $\dot{r}_d$  of the droplet radius  $r_d$

$$I_{con} = 4\pi\rho_w \int_0^\infty r_d^2 \dot{r}_d f(r_d, t) dr_d, \quad (3.4)$$

where  $f_d(r_d)$  is the size distribution function of the newly formed drops. We use  $\dot{r}_d$  in the form

$$\dot{r}_d = \frac{c_3 s}{r_d + \xi}, \quad c_3 = \frac{D_v \rho_{vs}}{\rho_w \Gamma_1}, \quad (3.5a)$$

$$\xi = \frac{4D_v}{\alpha_c V_w}, \quad V_w = \left( \frac{8RT}{\pi M_w} \right)^{1/2} \quad (3.5b)$$

where  $D_v$  is the water vapor diffusion coefficient,  $\xi$  is the kinetic correction to the radius growth rate,  $V_w$  is the thermal speed of water vapor molecules,  $R$  is the universal gas constant, and  $\alpha_c$  is the condensation coefficient. This equation for  $dr/dt$  (e.g., Fuchs 1959; Sedunov 1974) is equivalent to that given in PK97 (chapter 13), and accounts for the kinetic correction  $\xi$ .

Substitution of (3.5a) into (3.4) yields

$$I_{con}(t) = s(t) \frac{4\pi D_v \rho_{vs}}{\Gamma_1} \int_0^\infty \frac{r_d^2(t, t_0)}{r_d(t, t_0) + \xi} f_d(r_d, t) dr_d. \quad (3.6)$$

Here  $r_d(t, t_0)$  denotes the radius at time  $t$  of a drop activated at time  $t_0$ . The radius  $r_d(t, t_0)$  is evaluated from (3.5a)

$$r_d(t, t_0) = \{[r_d(t_0) + \xi]^2 + 2c_3[y(t) - y(t_0)]\}^{1/2} - \xi, \quad (3.7)$$

where  $r_d(t_0)$  is the initial drop radius at the activation time, and  $y(t)$  is the integral supersaturation

$$y(t) = \int_0^t s(t') dt'. \quad (3.8)$$

To express the size spectrum  $f(r_d)$  via the activity spectrum, we apply a kinetic equation

$$\frac{\partial f_d(r_d)}{\partial t} + \frac{\partial}{\partial r} (r f_d) = \varphi_s(s) \delta(r - r_d(t_0)), \quad (3.9)$$

where  $\varphi_s(s)$  is the differential activity spectrum, and  $\delta$  is the Dirac delta-function. A solution to this equation describes the conservation law for the concentration of the newly formed drops and CCN

$$f_d(r_d) = \varphi_s(s) \frac{ds}{dt_0} \frac{dt_0}{dr_d}. \quad (3.10)$$

Substituting (3.7) - (3.10) into (3.6) yields

$$I_{con}(t) = s(t) \frac{4\pi D_v \rho_{vs}}{\Gamma_1} \int_0^t r_{ef}(t, t_0) \varphi_s(s) \frac{ds(t_0)}{dt_0} dt_0, \quad (3.11a)$$

where the integration is performed over the initial times of activation  $t_0$  and

$$r_{ef}(t, t_0) = \frac{\{[(r_d(t_0) + \xi)^2 + 2c_3(y(t) - y(t_0))]^{1/2} - \xi\}^2}{[(r_d(t_0) + \xi)^2 + 2c_3(y(t) - y(t_0))]^{1/2}}. \quad (3.11b)$$

As shown below, variations of  $\alpha_c$  (or  $\xi$ ) can have substantial effects on activation process, especially for small  $\alpha_c$ . The method developed provides solutions for any value of  $\alpha_c$  as described in sections 4, 5, and allows examination of variable  $\alpha_c$  and the kinetic correction  $\xi$  without running parcel models, and more generally than it was done in some previous works as discussed in Introduction.

Substituting  $\varphi_s(s)$  from (2.11) into (3.11a) and using the relations  $s = y'$ ,  $\rho_v \approx \rho_{vs}$ , yields the 2nd (relaxation) term on the right hand side in (3.1) in the form

$$\frac{\Gamma_1}{\rho_v} I_{con}(t) = y'(t) [4\pi D_v N_a J_0(t)] = \frac{y'(t)}{\tau_{p,ac}(t)}. \quad (3.12)$$

where  $\tau_{p,ac}$  is the effective supersaturation relaxation time during this stage of drop formation,

$$\tau_{p,ac}(t) = [4\pi D_v N_a r_{act}(t)]^{-1}, \quad (3.13)$$

where  $r_{act}(t) = J_0(t)$  is some activation radius, and the integral  $J_0(t)$  has the dimension of length

$$J_0(t) \equiv r_{act}(t) = \frac{k_{s0}}{s_0^{k_{s0}}} \int_0^t r_{ef}(t, t_0) \frac{[y'(t_0)]^{k_{s0}-1} y''(t_0)}{\{1 + \eta_0 [y'(t_0)]^{k_{s0}}\}^\mu} dt_0. \quad (3.14)$$

The primes hereafter denote time derivatives. Note that with the KC06 activity spectrum (2.11), we have in the denominator of integrand  $\mu = 2$ , but we will retain the general notation  $\mu$  for easier comparison with another models.

Now, using (3.12) - (3.14), the supersaturation equation (3.1) becomes

$$\begin{aligned} [1 + y'(t)]^{-1} y''(t) &= c_1 w - y'(t) [\tau_{p,ac}(t)]^{-1} \\ &= c_1 w - y'(t) [4\pi D_v N_a J_0(t)]. \end{aligned} \quad (3.15)$$

This is a nonlinear integro-differential equation for the integral supersaturation  $y(t)$  that governs the kinetics of the drop formation. Numerous previous attempts have shown that analytical solution is not feasible without simplifications. These simplifications and solutions are considered in the next section.

#### 4. Solutions to the supersaturation equation

Solutions to the supersaturation equation (3.15) should yield the maximum value  $s_m$  of supersaturation in a rising parcel, the time  $t_m$  when  $s_m$  is reached, and the concentration  $N_{dr}$  of the activated drops. This solution requires evaluation of the integral  $J_0$  in (3.14). It is convenient to introduce a new integral  $J_1$  and rewrite (3.15) as

$$[1 + y'(t)]^{-1} y''(t) = c_1 w - c_4 y'(t) J_1(t). \quad (4.1)$$

Here

$$\begin{aligned} J_1(t) &= \frac{s_0^{k_{s0}}}{(2c_3)^{1/2} k_{s0}} J_0(t) \\ &= \int_0^t \frac{r_{ef}(t, t_0)}{(2c_3)^{1/2}} \frac{[y'(t_0)]^{k_{s0}-1} y''(t_0)}{\{1 + \eta_0 [y'(t_0)]^{k_{s0}}\}^\mu} dt_0, \end{aligned} \quad (4.2)$$

with  $r_{ef}(t, t_0)$  defined in (3.11b), and

$$c_4 = 4\pi D_v N_a (2c_3)^{1/2} k_{s0} s_0^{-k_{s0}}, \quad (4.3)$$

$c_3$  is defined in (3.5a) and we retain the general index  $\mu$  in the denominator.

Note that (4.1) differs from the usually used supersaturation equations (e.g., PK97, Seinfeld and Pandis 1998, and most works cited here): a) it deals with the integral and not with the differential supersaturation; b) it is of 2nd order with respect to the integral supersaturation  $y$  but operates only with  $y$  and its derivatives; c) the last term, which describes the sink of vapor onto the droplets, is expressed via  $y'$  (i.e., directly supersaturation) in contrast to typically used derivative  $d(LWC)/dt$  with implicit dependence on  $s$ . This form of the equation is convenient both for analytical solutions and numerical models of various complexity.

The form of (4.1) suggests that the first iteration to the solution can be sought substituting the first term on the right-hand side into the 2nd term, i.e.,

$$y'' = c_1 w, \quad y'(t) = s(t) = c_1 w t, \quad y(t) = (c_1 w / 2) t^2. \quad (4.4)$$

This method with a linear approximation for  $s(t)$ , first developed by Twomey (1959) and used later by many authors (e.g., Sedunov 1967, 1974; Ghan et al. 1993; Abdul-Razzak et al. 1998), gives an upper limit for  $J_1(t)$ , and lower limit for  $s(t)$ , and approximates a solution to good accuracy. Substituting (4.4) into (4.2) yields

$$J_1 = \frac{1}{\sqrt{2}} (c_1 w)^{k_{s0}+1/2} t^{k_{s0}+1} J_2, \quad (4.5)$$

where  $J_2$  is a dimensionless integral

$$J_2 = \int_0^1 \frac{\{[U_s + (1-x^2)]^{1/2} - V_s\}^2}{[U_s + (1-x^2)]^{1/2}} \frac{x^{k_{s0}-1}}{(1 + \chi_s x^{k_{s0}})^\mu} dx, \quad (4.6)$$

and the three dimensionless variables are

$$\chi_s = \eta_0 (c_1 w t)^{k_{s0}} = \left( \frac{c_1 w t}{s_0} \right)^{k_{s0}}, \quad (4.7a)$$

$$U_s = \frac{[r_d(t_0) + \xi]^2}{c_3 (c_1 w) t^2}, \quad V_s = \frac{\xi}{[c_3 (c_1 w)]^{1/2} t}. \quad (4.7b)$$

Substitution of  $x^2 = z$  in  $J_2$  yields

$$J_2 = \frac{1}{2} J_3, \quad J_3 = \int_0^1 \frac{\{[U_s + (1-z)]^{1/2} - V_s\}^2}{[U_s + (1-z)]^{1/2}} \frac{z^{k_{s0}/2-1}}{(1 + \chi_s z^{k_{s0}/2})^\mu} dz. \quad (4.8)$$

The integral  $J_3$  can be evaluated analytically in some approximations or numerically as discussed below. Eq. (4.1) can be rewritten now as

$$[1 + y'(t)]^{-1} y''(t) = c_1 w - \left( \frac{c_4}{2\sqrt{2}} \right) (c_1 w)^{k_{s0}+3/2} t^{k_{s0}+2} J_3(t). \quad (4.9)$$

The time  $t_m$  when supersaturation in the parcel reaches a maximum  $s_m$  is determined from the condition  $s'(t_m) = 0$ , or  $y''(t_m) = 0$ , then the left hand side of (4.9) is zero and we obtain an algebraic expression for  $t_m$ ,

$$\begin{aligned} t_m^{k_{s0}+2} &= \frac{2\sqrt{2}}{c_4 J_3(t_m)} (c_1 w)^{-(k_{s0}+1/2)} \\ &= c_5^{-1} N_a^{-1} s_0^{k_{s0}} (c_1 w)^{-(k_{s0}+1/2)} [J_3(t_m)]^{-1}, \end{aligned} \quad (4.10)$$

where

$$c_5 = 2\pi D_v c_3^{1/2} k_{s0}. \quad (4.11)$$

Solving for  $t_m$ , after some transformations we obtain

$$t_m = K_{tms} N_a^{\frac{-1}{(k_{s0}+2)}} s_0^{\frac{k_{s0}}{(k_{s0}+2)}} w^{\frac{-(k_{s0}+1/2)}{(k_{s0}+2)}}, \quad (4.12)$$

$$K_{tms} = [c_1^{(k_{s0}+1/2)} c_5 J_3(t_m)]^{\frac{-1}{(k_{s0}+2)}}. \quad (4.13)$$

The maximum supersaturation can now be evaluated using (4.4) as  $s_m = c_1 t_m w$ , which yields

$$s_m = K_{sms} N_a^{\frac{-1}{(k_{s0}+2)}} s_0^{\frac{k_{s0}}{(k_{s0}+2)}} w^{\frac{3}{2(k_{s0}+2)}} \quad (4.14)$$

$$K_{sms} = [c_1^{-3/2} c_5 J_3(t_m)]^{\frac{-1}{(k_{s0}+2)}} \quad (4.15)$$

Finally, the drop concentration can be calculated from (2.15) or (2.16) with  $s = s_m$

$$N_{dr}(s_m) = N_a \frac{(s_m / s_0)^{k_{s0}}}{1 + (s_m / s_0)^{k_{s0}}} = C_0 s_m^{k_{s0}} [1 + \eta_0 s_m^{k_{s0}}]^{-1} \quad (4.16)$$



$$= C(s_m) s_m^{k(s_m)}, \quad (4.17)$$

and  $C(s_m)$ ,  $k(s_m)$  defined in (2.17), (2.18). Eq. (4.17) represents the generalized power law with coefficient  $C$  and index  $k$  that are each functions of the maximum supersaturation or of the vertical velocity.

Eqs. (4.14) - (4.17) for  $s_m$ ,  $N_{dr}(s_m)$  represent a generalization of the corresponding expressions (1.4) from Twomey (1959) that were based on the power law (1.3), and convert into them if  $\chi_s = 0$  or  $\mu = 0$  (power law (1.3) for  $\varphi_s(s)$  instead of algebraic KC06 spectrum (2.11) with  $\mu = 2$ ), or  $U_s = 0$ , and  $V_s = 0$  (initial radius  $r_d(t_0) = 0$ , and kinetic correction  $\xi = 0$ ). When  $\mu = 2$  and the other parameters are nonzero, these equations contain the integral  $J_3$ , which itself depends on  $t_m$  described by the new Eqs. (4.12), (4.13). However, (4.12) - (4.17) are easy for computing and for the compilation of lookup tables. Then  $N_{dr}(s_m)$  can be calculated in a cloud or climate model using the modified power law (4.17) and these lookup tables, or using the asymptotic limits of the power law. All calculations below are made with the algebraic  $\varphi_s(s)$  (2.11), i.e.,  $\mu = 2$ .

The primary equation is (4.12), since once we know  $t_m$ , we can calculate  $s_m$  and  $N_{dr}(s_m)$ . The method of calculating  $t_m$  is illustrated in Fig. 1. Calculations are performed with  $\beta = 0.5$ ,  $r_m = 0.03 \mu\text{m}$ ,  $\sigma_d = 2.1$  (according to (2.12),  $k_{s0} = 1.44$ ),  $b = 0.25$  (ammonium sulfate and solubility 50%) and 3 values of  $w = 3.5, 21$  and  $101 \text{ cm s}^{-1}$ . As seen in Fig. 1, the left-hand side (which is just  $t_m$ ) begins at zero and linearly grows with time, while the right-hand side of (4.12) begins at nonzero values and grows almost linearly but much slower. Thus, the right- and left-hand sides should intersect at some point  $t$ , which is a solution of (4.12) for  $t_m$ , 38.7, 14.9, and 6.9 seconds respectively for these values of  $w$ . Then, with known  $t_m$ , we can calculate  $s_m$  using (4.4), and  $N_{dr}$  using the algebraic law (4.16) or generalized power law (4.17).

To check the consistency of this solution, we compare this method with two methods from Abdul-Razzak et al. (1998, hereafter AGC): their parameterization and detailed calculations based on the parcel model runs. Calculations were made using the same parameters as in Fig. 1 in

AGC: at  $T = 10\text{ }^{\circ}\text{C}$ ,  $p = 800\text{ mbar}$ , and updraft  $w = 5\text{ m s}^{-1}$ . Aerosol consists of fully soluble ammonium sulfate particles, the size spectrum is lognormal with  $r_{d0}$  varied in the range indicated on  $x$ -axis, dispersion  $\sigma_d = 2.5$ , total concentration  $N_a = 200\text{ cm}^{-3}$ . Shown in Fig. 2a is the fraction activated,  $N_{dr}/N_a$ , as a function of the mean geometric radius  $r_{d0}$  varied in the range  $0.001 - 0.1\text{ }\mu\text{m}$ , calculated with the modified power law (4.17) and with the two methods from AGC. Fig. 2a shows that the curve obtained from (4.17; labeled KC) is close to those from AGC; the precise AGC parcel results lie between the parameterizations AGC and KC.

Fig. 2b shows the differences between the methods calculated as  $(x_1 - x_2)/x_2 \times 100$ , where  $x_1$  and  $x_2$  and represent each compared pair of results. One can see that the difference between the methods does not exceed 10 % for realistic spectra at  $r_{d0} \geq 0.01\text{ }\mu\text{m}$  and 5 % at  $r_{d0} \geq 0.03\text{ }\mu\text{m}$ . The errors increase toward smaller values of  $r_{d0}$ , mostly because the fraction  $N_{dr}/N_a$  (denominator  $x_2$ ) becomes small, but these results are shown rather for illustration, and size spectra with small  $r_{d0} < 0.01\text{ }\mu\text{m}$  play little role in drop activation. Thus, the method developed here is in a good agreement with both methods from AGC, despite their completely different mathematical formulations.

## 5. Calculations of CCN activation kinetics

A series of calculations is performed using (4.12) - (4.17) to study various characteristics of CCN activation kinetics with varying vertical velocity. The effects of variations of any other single parameter of the set  $(r_m, \sigma_d, N_a, b, \alpha_c)$  are studied by varying this parameter while the others were fixed as described below. The results shown in Figures 3-7 are obtained with the condensation coefficient  $\alpha_c = 1$ , and the effect of variations of  $\alpha_c$  is shown in Figs. 8, 9.

Fig. 3 demonstrates the effect of variations of the modal radius  $r_m$  on the kinetics of drop activation. The maximum time  $t_m$  (Fig. 3a) decreases with increasing  $w$  from 50 -150 s and with increasing  $r_m$  (which diminishes with increasing  $w$ ). The maximum supersaturation  $s_m$  (Fig. 3b)

increases from 0.02 - 0.08 % at  $w = 1 \text{ cm s}^{-1}$  to 1-1.5 % s at  $w = 5 \text{ m s}^{-1}$ , and decreases with increasing  $r_m$ . Both  $t_m$  and  $s_m$  when plotted versus  $w$  in double-log coordinates show nearly straight lines with slightly changing slopes. The droplet concentrations and fraction activated (Fig. 3c,d) increase with increasing  $w$  and  $r_m$ , and roughly resemble smoothed Heaviside step functions (KC06). Note that  $N_{dr}$  is limited by  $N_a$ , in contrast to the usual power law expression.

The indices  $k(w)$  (or  $k(s_m)$ ) of the generalized power law (4.17) substantially decrease with increasing  $w$  (Fig. 3e), from 1.5-1.8 at small values of  $w$  to 0.4 and values close to zero at  $w = 5 \text{ m s}^{-1}$ . The indices  $k(s_m)$  decrease with increasing values of  $r_m$ . The coefficients  $C(s_m)$  also decrease with  $w$  from  $10^3 - 2 \times 10^4 \text{ cm}^{-3}$  at small  $w$  to values  $\sim N_a$  at  $w > 1-2 \text{ m s}^{-1}$  (Fig. 3f); variations of  $C(s_m)$  are much smaller at smaller values of  $r_m$ . These 2 Figures (3e,f) for  $k$  and  $C$  may provide a physical basis for the  $C$ - $k$  space of drop activation suggested by Braham (1976) based on generalization of experimental data. However, the consideration here shows that this space is actually multidimensional since  $N_{dr}(w)$  depends also on several other parameters. The method developed here allows calculation of  $C$  and  $k$  for any given  $w$  and any fixed set of aerosol microphysical parameters ( $r_m, \sigma_a, N_a, b, \alpha_c$ ) that together with  $w$  form a 6-dimensional  $C$ - $k$  space, or together with the temperature  $T$  and surface tension  $\zeta$  form an 8-dimensional space.

The values of the integral  $J_0(w) = r_{act}(w)$  defined in (3.14) and shown in Fig. 4a increase from 0.04 - 0.2  $\mu\text{m}$  at small values of  $w$  to 1-2  $\mu\text{m}$  at large values of  $w$  and increase with increasing values of  $r_m$ . The relaxation time  $\tau_{p,ac}$  during activation calculated with (3.13) decreases from  $\sim 70$  seconds at  $w = 1 \text{ cm s}^{-1}$  to 4 - 10 seconds at  $w \geq 0.3 \text{ m s}^{-1}$ . The latter value of  $\tau_{p,ac}$  is quite comparable to the drop relaxation time in a developed cloud, i.e., the rate of vapor absorption by the newly formed drops is comparable to that of the “old” drops.

An important characteristic of the increase in CCN radii during activation is the ratio  $r_{act}(w)/r_m$ . It is actually this characteristic that was used in Abdul-Razzak et al. (1998), Abdul-Razzak and Ghan (2000), Fountoukis and Nenes (2005) and others when developing approximate

parameterizations of drop activation. Fig. 4b shows that  $r_{act}(w)/r_m$  increases from 1-4 at  $w = 1 \text{ cm s}^{-1}$  to the values  $\geq 10$  at  $w \geq 0.2 - 0.4 \text{ m s}^{-1}$ , i.e., this ratio is  $\gg 1$  at the typical turbulent updrafts in Sc clouds and vertical velocities in cumulus clouds. Fig. 4c shows that the integral  $J_3 < 1$  and decreases with increasing  $w$  and  $r_m$ . The depth of the activation layer calculated as  $H_{act} = wt_m$  (Fig. 4d) increases from less than 1 m at small values of  $w$  to 20-25 m at large values of  $w$ , i.e., activation occurs within 1 typical grid box of  $\sim 50 \text{ m}$  of a LES model and may occupy more levels in models with finer resolution. Recall, these results were obtained with  $\alpha_c = 1$ , and  $H_{act}$  is greater for smaller values of  $\alpha_c$  as described below.

The effect of varying size dispersion  $\sigma_d$  is shown in Fig. 5a-f. The dependencies on  $w$  for all values of  $\sigma_d$  are the same as described above. An increase in  $\sigma_d$  (broadening of the CCN size spectra) is most pronounced at small values of  $w$ , where it shortens activation time  $t_m$ , reduces maximum supersaturation, index  $k(s_m)$  and coefficient  $C(s_m)$ , and causes increase in droplet concentration and fraction activated. The effect of  $\sigma_d$  decreases at  $w > 1 \text{ m s}^{-1}$  and almost vanishes at  $w > 2 \text{ m s}^{-1}$ , i.e., the width of the size spectra becomes much less significant in the large updrafts in convective clouds.

Fig. 6 depicts the effect of variations of CCN concentration  $N_a$  in the range  $100 \text{ cm}^{-3}$  (e.g., maritime or arctic clouds) to  $2000 \text{ cm}^{-3}$  (continental or moderately polluted atmosphere). A 20-fold increase in  $N_a$  causes a decrease in  $t_m$  and  $s_m$  by 2.5 times at small values of  $w$  and 4.5 times at large values of  $w$  (Fig. 6a), i.e., the effect is smaller than linear, and increases with  $w$ . The drop concentration increases with  $N_a$  but much slower than linearly, so that fraction activated decreases with increasing  $N_a$ ; this decrease is greater at moderate values of  $w$  on the order of turbulent fluctuations  $\sim 0.3-0.5 \text{ m s}^{-1}$ , and smaller at small and large values of  $w$  (Fig. 6c,d). Both the index  $k(w)$  and coefficient  $C(w)$  substantially increase at larger values of  $N_a$ , and their variations are also maximum at moderate values of  $w$ .

Effect of variations of soluble fraction  $\varepsilon_v$  of ammonium sulfate from fully soluble nuclei with  $\varepsilon_v = 100\%$  to  $6\%$  is shown in Fig. 7. The values of  $b$  calculated from (2.5) for the soluble fraction proportional to the volume ( $\beta = 0.5$ ) are  $\approx 0.5$  and  $0.03$  respectively. The effect of solubility is nonlinear in  $w$ : it decreases with increasing  $w$ . A more than 16-fold decrease in soluble fraction leads to the decrease in  $t_m$  and  $s_m$  by only a factor of two at small  $w$ , this difference further decreases with increasing  $w$ , and becomes almost negligible at  $w = 5 \text{ m s}^{-1}$ . With this 16-fold decrease in  $\varepsilon_v$ , the drop concentration and fraction activated decrease by a factor of 3.5 at small  $w$ , a factor of 2-2.5 at  $w = 0.2 - 1 \text{ m s}^{-1}$  (turbulent fluctuations), and this difference is only 15-20% at  $w = 4-5 \text{ m s}^{-1}$  (convective clouds). The value for convective clouds with large updrafts is close to that in Abdul-Razzak et al. (1998). Fig. 7 shows the effect of solubility in the wide range of  $w$  and its increase at smaller  $w$ , i.e., indicates that solubility of CCN may play much more important effect in stratiform than in convective cloud types. The index  $k(w)$  increases and the coefficient  $C(w)$  decreases for lower solubility. An interesting feature of activation is that even the CCN with very small solubility can serve as effective nuclei for drop activation.

The results presented in Figs 3-7 were obtained with the condensation coefficient  $\alpha_c = 1$  that may correspond to drops of pure water (PK97). The values of  $\alpha_c$  in the range 1 to 0.001 and smaller have been measured in various experiments (e.g., PK97, Table 5.4);  $\alpha_c$  may become smaller than  $10^{-3}$  to  $10^{-5}$  in the presence of surfactants and organic substances at the CCN surface (PK97, Charlson et al. 2001, Feingold and Chuang 2002; Chuang 2003; a review of earlier theoretical, laboratory and field studies is given in Buikov and Khvorostyanov 1979). To study the effects of  $\alpha_c$ , we tested 5 values,  $\alpha_c = 10^{10}, 1, 0.1, 0.04, 0.01$ . The corresponding kinetic corrections  $\xi$  in  $dr/dt$  in (3.5a,b) can be written for the standard conditions as  $\xi \approx 0.15/\alpha_c \mu\text{m}$ , and for these 5 cases are respectively 0; 0.15; 1.5; 3.8; and 15  $\mu\text{m}$ . (The real value of  $\alpha_c$  is of course always  $\leq 1$ , but the choice  $\alpha_c = 10^{10}$  allows to study the case with  $\xi = 0$  used in some works).

The impacts of varying the condensation coefficient  $\alpha_c$  are shown in Figs. 8, 9. The difference in  $t_m$  and  $s_m$  and in all the other characteristics shown in Figs. 8, 9 for the case  $\alpha_c = 10^{10}$  (absence of kinetic correction) and  $\alpha_c = 1$  generally does not exceed 4-7 %. That is, the case  $\alpha_c = 1$  considered in the parameterizations by Abdul-Razzak et al. (1998), Abdul-Razzak and Ghan (2000), Nenes and Seinfeld (2003) and in Figs 3-7 here is close to the absence of the kinetic correction as in Twomey (1959) and subsequent similar parameterizations. A decrease in  $\alpha_c$  from 1 to 0.1 causes an increase in  $t_m$  and  $s_m$  of 50-70 %; the droplet concentration and fraction activated are almost twice as large at small values of  $w$  with a gradual decrease of the difference toward larger values of  $w$ . Both the index  $k(w)$  and coefficient  $C(w)$  decrease but in such a way that  $N_{dr}$  increases. A decrease in  $\alpha_c$  from 1 to 0.04 (typical values used in many cloud models) results in 2-2.5-fold increase in  $t_m, s_m$ ; corresponding increase in  $N_{dr}$  is 1.5 - 2 times at  $w = 10$ -50  $\text{cm s}^{-1}$  (comparable or greater than the indirect aerosol effect), and gradually vanishes at large  $w$ . When  $\alpha_c$  decreases from 1 to 0.01, this causes 5-6- fold increase in  $t_m, s_m$ ; this leads to an increase in  $N_{dr}$  by 100 - 50 % at  $w = 10$ -50  $\text{cm s}^{-1}$ , which tends to zero at large  $w$ . Any decrease in  $\alpha_c$  is accompanied by a significant decrease in  $k(w)$  and  $C(w)$  similar to those just described (Fig. 8a-f).

The values of  $r_{act}(w) = J_0(w)$  and  $r_{act}/r_m$  become much smaller with decreasing  $\alpha_c$  (Fig. 9), and the assumption  $r_{act}/r_m \gg 1$  with  $\alpha_c \leq 0.04$  made in some previous works on drop activation is not satisfied even for sufficiently high values of  $w$ . The quantity  $r_{act}/r_m$  characterizes the ratio  $r_{act}/r_d(t, t_0)$ , and the assumption  $r_{act}/r_d(t, t_0) \gg 1$  was implicit in Twomey (1959), and similar parameterizations in evaluation of the integral  $J_0(w)$ . The height of activation substantially increases for small  $\alpha_c \leq 0.1$  (Fig. 9d), and may exceed 30-100 m, so that the process of activation should be distributed among several levels in models with fine vertical resolution.

Thus, a decrease in the condensation coefficient leads to slower CCN activation, and higher maximum supersaturation, droplet concentration, and fraction activated. The magnitude of these variations can be higher than possible direct or indirect aerosol effects on climate change;

that is, uncertainties in  $\alpha_c$  may prevent correct estimates of anthropogenic effects on climate. Thus, correct measurements of the condensation coefficient and use of its proper values for evaluation of the concentration of activated drops is very important. The method developed here allows direct calculations of CCN activation in a model or compilation of lookup tables for cloud and climate models for any values of condensation coefficient.

## 6. Conclusions

A simple yet consistent model of cloud drops activation on CCN with lognormal or equivalent algebraic size spectrum is developed. An integro-differential equation for the integral water supersaturation in a cloud is derived and analyzed. Based on the equivalence of the lognormal and algebraic aerosol size spectra and CCN differential activity spectra, and solving the supersaturation equation with the algebraic activity spectrum, analytical expressions are obtained for the time  $t_m$  of CCN activation process, the maximum supersaturation  $s_m$ , and droplet concentration  $N_{dr}$  limited by the total aerosol concentration at high supersaturations. All three quantities are expressed as functions of the vertical velocity  $w$ , and characteristics of the CCN size spectra: mean geometric radius  $r_{d0}$ , dispersion  $\sigma_d$ , and parameter of solubility  $b$ .

A generalized power law for the drop activation,  $N_{dr}(s_m) = C(s_m)s_m^{k(s_m)}$ , is formulated that is similar to the Twomey's power law, but both the coefficient  $C(s_m)$  and index  $k(s_m)$  are functions of supersaturation. These functions are expressed analytically via vertical velocities and CCN microphysical parameters. Both  $C(s_m)$  and  $k(s_m)$  are decreasing functions of  $s_m$  or  $w$ , which has been observed (e.g., Yum and Hudson 2001 and review therein) but hitherto unexplained.

The analytical expressions derived here and examples of calculations show that these parameters also decrease with increasing vertical velocities, but can increase or decrease with variations of the aerosol microphysical parameters. Since the work of Twomey (1959), for almost 5 decades, there has been a continued search for the best value of  $k$  and of  $C$  or for 2-4 values that

can be representative over the whole supersaturation range (see e.g., reviews in Jiusto and Lala 1981; Hegg and Hobbs 1992; PK97; Seinfeld and Pandis 1998). The equations derived in KC06, KC07 and here show that unique values of  $k$  and  $C$  cannot exist: there is infinite number of  $k(s_m)$  and of  $C(s_m)$  as continuous functions of  $s_m$  or  $w$ , but they are simply expressed here via aerosol microstructure; for a given microstructure, they are decreasing functions of vertical velocity.

A simple and economical numerical solution of the integral supersaturation equation is described that does not require running parcel models. An extended series of numerical experiments was performed, in which the dependencies of the time  $t_m$ , supersaturation  $s_m$ , drop concentration  $N_{dr}$ , fractions activated, coefficient  $C(s_m)$ , index  $k(s_m)$ , and several other important characteristics of activation process were studied as functions of vertical velocity and the aerosol physico-chemical properties.

The results of this study have highlighted the sensitivity of the nucleation process to the water condensation coefficient  $\alpha_c$ . Many previous parameterizations of CCN activation have not included variations of  $\alpha_c$ . The method developed here allows studying effects of variations of  $\alpha_c$  without running parcel models and retuning the coefficients of parameterization. It was shown that the assumptions of Twomey (1959) (neglecting the kinetic correction  $\xi$  in the drop growth rate, which is equivalent to  $\alpha_c = \infty$ ) yields results close to those obtained in Abdul-Razzak et al. (1998), Abdul-Razzak and Ghan (2000) with  $\alpha_c = 1$ , which can be characteristic of pure water drops. A decrease in the condensation coefficient to 0.04-0.01, which may be characteristic of polluted cloud drops, leads to a 2.5-6 -fold increase of the activation time  $t_m$  and maximum supersaturation  $s_m$ . This results in an increase in the concentration of activated drops by 50-270 % at vertical velocities  $w \sim 0.1 - 0.5 \text{ m s}^{-1}$ , typical for turbulent fluctuations, and by 10 - 6 % at  $w \sim 2-3 \text{ m s}^{-1}$ , typical of convective clouds; the effect decreases with increasing  $w$ .

Our results on increasing  $N_{dr}$  with decreasing  $\alpha_c$  are in qualitative agreement with parcel model simulations given in Nenes et al. (2002), and simulations and parameterization in Ming et



al. (2006) at  $w \sim 1 \text{ m s}^{-1}$ . Note that we assume here the same value of  $\alpha_c$  for the whole CCN and drops size spectra, consider only moderate variations of  $\alpha_c$  as those measured in various experiments (PK97, Table 5.4) and used in cloud models. We do not consider more complicated processes like formation of surfactant organic films with much smaller values  $\alpha_c \sim 10^{-5}$ , and breaking the films in the fraction of the largest drops with corresponding increase in  $\alpha_c$  to 0.04, as, e.g., in Buikov and Khvorostyanov (1979), Feingold and Chuang (2002), Nenes et al. (2002).

The values of  $\alpha_c$  in the range 0.04 - 1 are typically used in cloud models and parameterizations. There is currently no complete clarity on the reasons for the measured variations of  $\alpha_c$ , and there is not a common consensus on its best value, but it is usually hypothesized that the lower values of  $\alpha_c$  may be caused by the presence of impurities in the drops, and is characteristic of polluted clouds (PK97, Seinfeld and Pandis 1998). The results of this work indicate that the clouds polluted with any substance that lowers  $\alpha_c$  to 0.01-0.04, but does not cause strong absorption of solar radiation (without substantial presence of soot), may have notably higher albedo and lower precipitation than clouds of pure drops with  $\alpha_c \sim 1$ .

In contrast to the known “first indirect aerosol effect” (albedo increase or Twomey (1977) effect) and “second indirect aerosol effect” (precipitation decrease or Albrecht (1989) effect) due to increase of aerosol concentration, these albedo and precipitation effects may occur without increased aerosol concentration, due to chemical processing of the existing CCN or presence of the corresponding chemicals during CCN and drops formation (e.g., Laaksonen et al. 1998; McFiggans et al. 2006), which may change  $\alpha_c$ . This conclusion about chemical amplification of the indirect aerosol effects is in agreement with parcel model results and other parameterizations (e.g., Nenes et al. 2002, Rissman et al. 2004). Note that we considered here only one case with variations of  $\alpha_c$ , and all the other aerosol parameters fixed, but the method developed here allows for examination of effects of  $\alpha_c$  for various aerosol types in cloud and climate models. Variations of the surface tension due to surface-active organic solutes that may

further increase albedo (Facchini et al. 1999; Charlson et al. 2001; Rissman et al. 2004), can be also accounted for in this method by appropriate modification of the Kelvin parameter introduced in section 2.

Since the algebraic size and CCN activity spectra derived in KC06, KC07 and selected in this work are equivalent to the lognormal spectra, our generalized power law model is analogous to the lognormal approach developed in Ghan et al. (1993, 1995), von der Emde and Wacker (1993), Abdul-Razzak et al. (1998, 2000), Fountoukis and Nenes (2005). However, the algebraic representation of the size spectra has several advantages.

1) While the lognormal functions are a convenient fit to the observed spectra, they generally do not have a physical justification. By contrast, the algebraic spectra have such a justification: they are the interpolation among several spectral sub-ranges, each of which is a power law (direct with positive index or Junge-type inverse with negative index), and can be obtained as the solutions to the aerosol coagulation equation with sources and sinks (e.g., Junge 1963; Lushnikov and Smirnov 1975; Lushnikov and Piskunov 1977; Voloshchuk 1984; PK97, Seinfeld and Pandis 1998). This allows direct expression of the size spectra via the primary kinetic parameters of aerosol formation processes (gas-to-particle conversion and coagulation): first, the modal radius and dispersion of the CCN size spectra, and then, all the other parameters of CCN activity spectra and drop concentration.

2) The algebraic size spectra in  $r$ -space convert to the algebraic CCN differential activity spectra in  $s$ -space, the integrals of which are simpler to evaluate analytically. Analytical expressions for  $t_m$ ,  $s_m$  and  $N_{dr}$  will be presented in the following paper.

These numerical and analytical solutions and expressions for the parameters presented here can be used in several different ways for developing parameterizations of the drop activation process for cloud and climate models. Since the method developed here is based on direct solution of the integral supersaturation equation, it does not require running a parcel model and is computationally more efficient and flexible, and can be used for tuning parameters of the recently

developed analytical parameterizations, for calculating lookup tables for drop activation that could be used in the cloud and climate models. Besides, it can be used for estimating cloud drop spectral dispersions and the indirect dispersion effect on climate, as, e.g., in Liu et al. (2006), Peng and Lohmann (2003).

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### Figure captions

Fig. 1. Illustration of the method of solution of the equation (4.12) for  $t_m$ . The left hand side (LHS), time, is denoted by the solid squares. The right hand side (RHS) is calculated with  $r_m = 0.03 \mu\text{m}$ ,  $\sigma_d = 2.1$ ,  $\beta = 0.5$ ,  $b = 0.25$  and 3 values of  $w = 3.5, 21$  and  $101 \text{ cm s}^{-1}$ , as indicated in the legend. The intersections of the RHS and LHS, marked with the ellipses, are the solutions for  $t_m$ , here, respectively, 38.7, 14.9, 6.9 seconds.

Fig. 2. Comparison of the fraction activated as a function of the mean geometric radius  $r_{d0}$  calculated with the modified power law of this work (KC) with parameterization from Abdul-Razzak et al. (1998) (AGC) and with results of detailed parcel simulations from AGC (parcel). (a) fraction activated,  $N_{dr}/N_a$ ; and (b) relative errors in percent between each two methods as indicated in the legend. Calculations are made at  $T = 10 \text{ }^\circ\text{C}$ ,  $p = 800 \text{ mbar}$ , and updraft of  $5 \text{ m s}^{-1}$ . Aerosol consists of fully soluble ammonium sulfate particles, the size spectrum is lognormal with  $r_{d0}$  varied in the range indicated on  $x$ -axis, dispersion  $\sigma_d = 2.5$ , total concentration  $N_a = 200 \text{ cm}^{-3}$ . The error between the methods does not exceed 10 % for realistic spectra at  $r_{d0} \geq 0.01 \mu\text{m}$  and 5 % at  $r_{d0} \geq 0.03 \mu\text{m}$ .

Fig. 3. Effect of variations of the modal radius  $r_m$  indicated in the legend. (a) maximum time  $t_m$ , sec; (b) supersaturation  $s_m$ , %; (c) droplet concentration  $N_{dr}$ ,  $\text{cm}^{-3}$ ; (d) fraction activated; (e), index  $k(s_m)$ ; (f) coefficient  $C_{cp}(s_m)$ ,  $\text{cm}^{-3}$  as functions of vertical velocity  $w$  calculated with KC06 CCN activity spectrum. Size dispersion  $\sigma_d = 1.8$ ;  $\beta = 0.5$  (volume soluble fraction),  $b = 0.25$  (50 % of ammonium sulfate), CCN concentration is  $N_a = 500 \text{ cm}^{-3}$ .

Fig. 4. Additional characteristics of activation. (a) effective radius of activation  $r_{act}$  (integral  $J_0$ ); (b) ratio  $r_{act}/r_m$ ; (c) Integral  $J_3$ ; (d) height of activation  $H_{lift}$ ; input parameters are the same as in Fig. 3.

Fig. 5. Effect of variations of size dispersion  $\sigma_d$  indicated in the legend. (a) maximum time  $t_m$ , sec; (b) supersaturation  $s_m$ , %; (c) droplet concentration  $N_{dr}$ ,  $\text{cm}^{-3}$ ; (d) fraction activated; (e), index  $k(s_m)$ ; (f) coefficient  $C_{cp}(s_m)$ ,  $\text{cm}^{-3}$  as functions of vertical velocity  $w$  calculated with KC06 CCN activity spectrum. The mean geometric radius  $r_{d0} = 0.05 \mu\text{m}$ ,  $\beta = 0.5$  (volume soluble fraction),  $b = 0.25$  (50 % of ammonium sulfate), CCN concentration is  $N_a = 500 \text{ cm}^{-3}$ .

Fig. 6. Effect of variations of CCN concentration  $N_a$  indicated in the legend. (a) maximum time  $t_m$ , sec; (b) supersaturation  $s_m$ , %; (c) droplet concentration  $N_{dr}$ ,  $\text{cm}^{-3}$ ; (d) fraction activated; (e), index  $k(s_m)$ ; (f) coefficient  $C_{cp}(s_m)$ ,  $\text{cm}^{-3}$  as functions of vertical velocity  $w$  calculated with KC06 CCN activity spectrum. The mean geometric radius  $r_{d0} = 0.05 \mu\text{m}$ ,  $\sigma_d = 1.8$ ,  $\beta = 0.5$  (volume soluble fraction),  $b = 0.25$  (50 % of ammonium sulfate).

Fig. 7. Effect of variations of soluble fraction  $\varepsilon_v$  of ammonium sulfate from 6 % to fully soluble nuclei (100 %) indicated in the legend. (a) maximum time  $t_m$ , sec; (b) supersaturation  $s_m$ , %; (c) droplet concentration  $N_{dr}$ ,  $\text{cm}^{-3}$ ; (d) fraction activated; (e), index  $k(s_m)$ ; (f) coefficient  $C_{cp}(s_m)$ ,  $\text{cm}^{-3}$  as functions of vertical velocity  $w$  calculated with KC06 CCN activity spectrum. The mean geometric radius  $r_{d0} = 0.05 \mu\text{m}$ ,  $\sigma_d = 1.8$ ,  $\beta = 0.5$  (volume soluble fraction),  $N_a = 500 \text{ cm}^{-3}$ .

Fig. 8. Effect of variations of condensation coefficient  $\alpha_c$  indicated in the legend ( $\alpha_c = 10^{10}$  corresponds to  $\xi = 0$ ). (a) maximum time  $t_m$ , sec; (b) supersaturation  $s_m$ , %; (c) droplet concentration  $N_{dr}$ ,  $\text{cm}^{-3}$ ; (d) fraction activated; (e), index  $k(s_m)$ ; (f) coefficient  $C_{cp}(s_m)$ ,  $\text{cm}^{-3}$  as

functions of vertical velocity  $w$  calculated with KC06 CCN activity spectrum. The mean geometric radius  $r_{d0} = 0.05 \mu\text{m}$ ,  $\sigma_d = 1.8$ ,  $\beta = 0.5$  (volume soluble fraction),  $b = 0.25$  (50 % of ammonium sulfate), CCN concentration is  $N_a = 500 \text{ cm}^{-3}$ .

Fig. 9. Effect of variations of condensation coefficient  $\alpha_c$  indicated in the legend, continued ( $\alpha_c = 10^{10}$  corresponds to  $\xi = 0$ ). (a) effective radius of activation  $r_{act}$  (integral  $J_0$ ); (b) ratio  $r_{act}/r_m$ ; (c) Integral  $J_3$ ; (d) height of activation  $H_{lift}$ ; input parameters are the same as in Fig. 8.

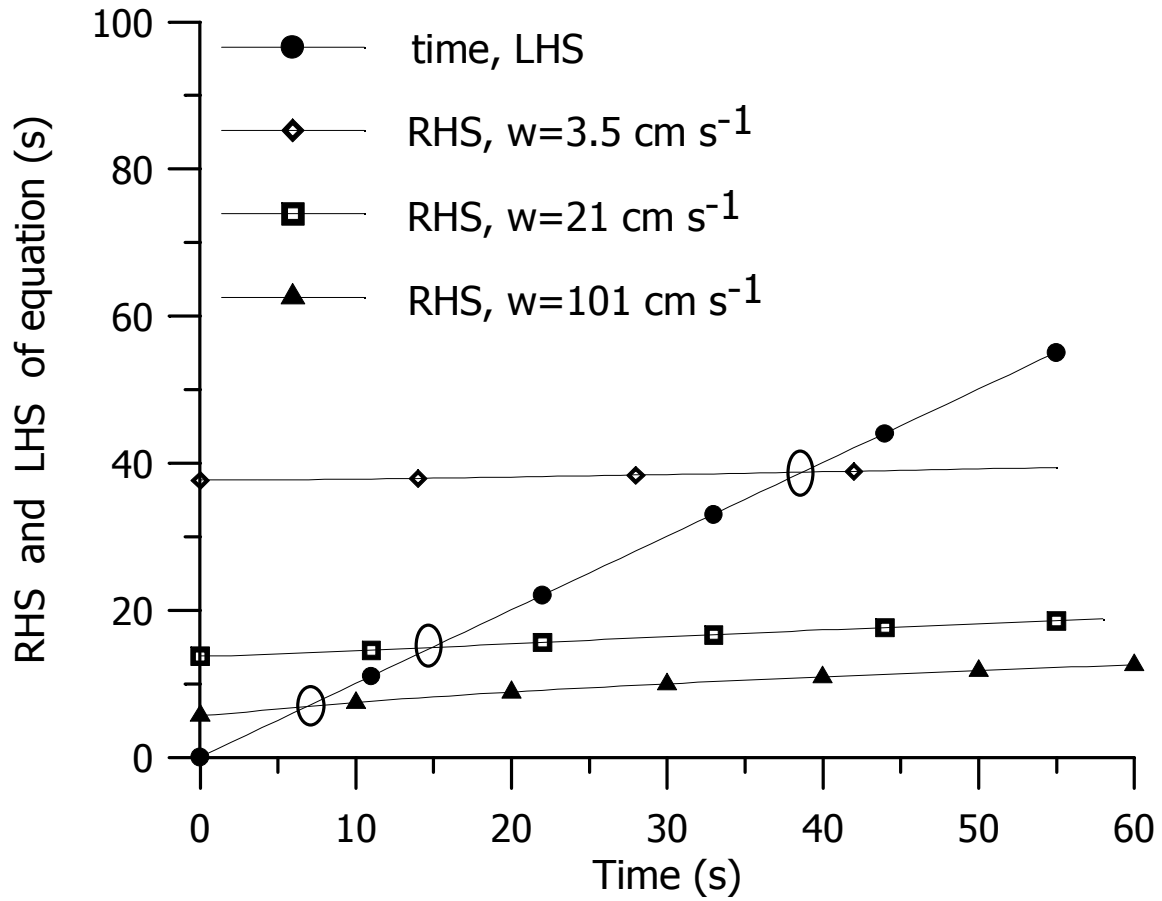


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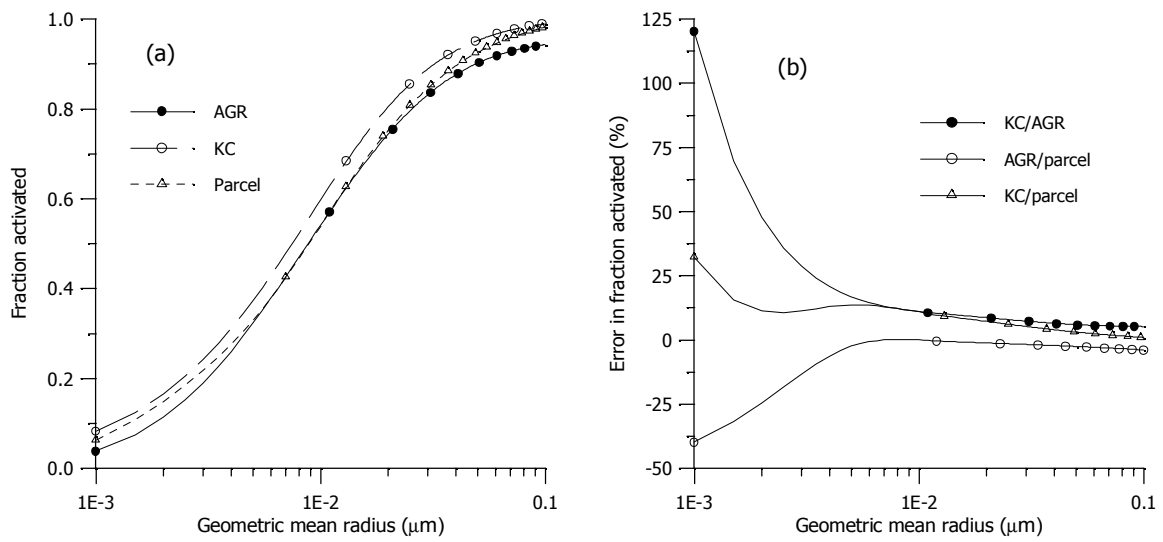


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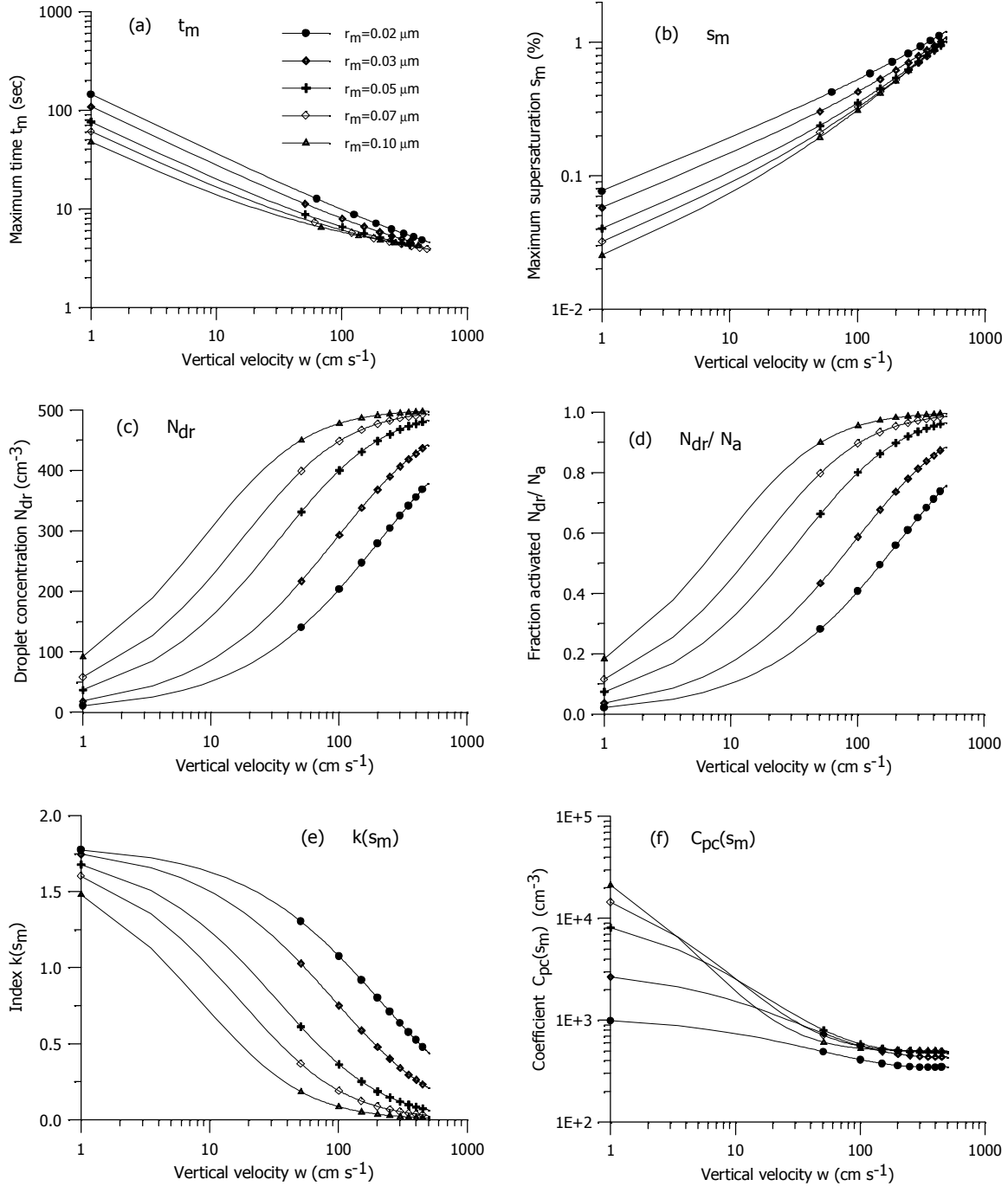


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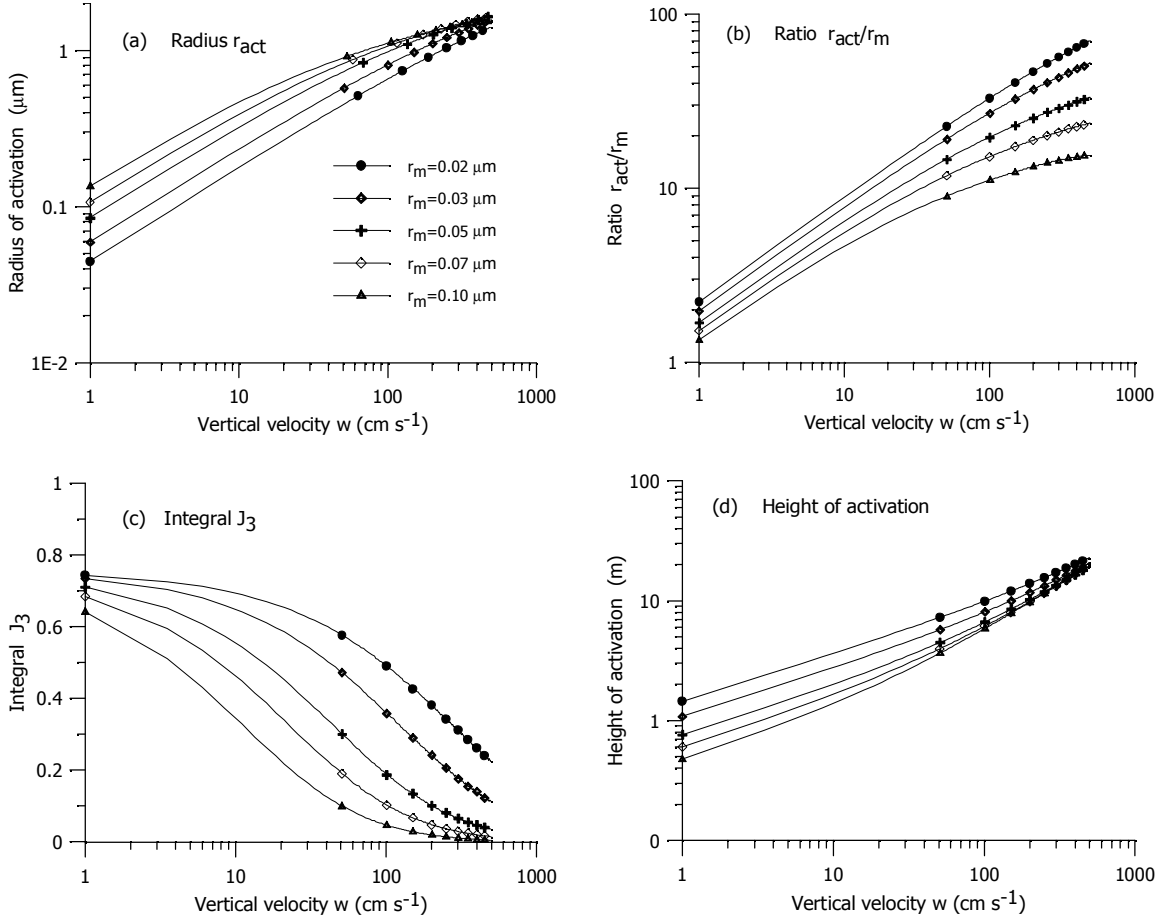


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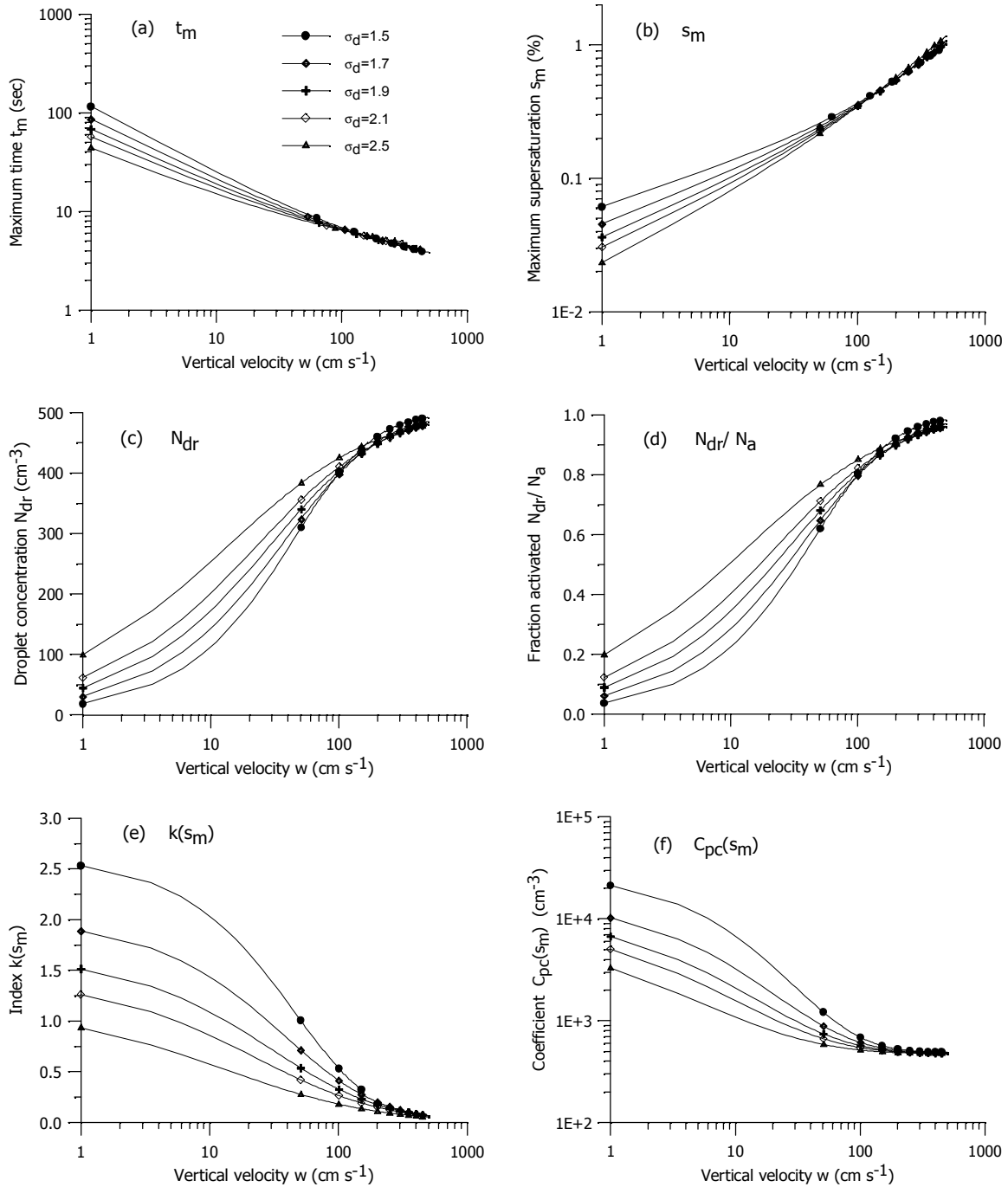


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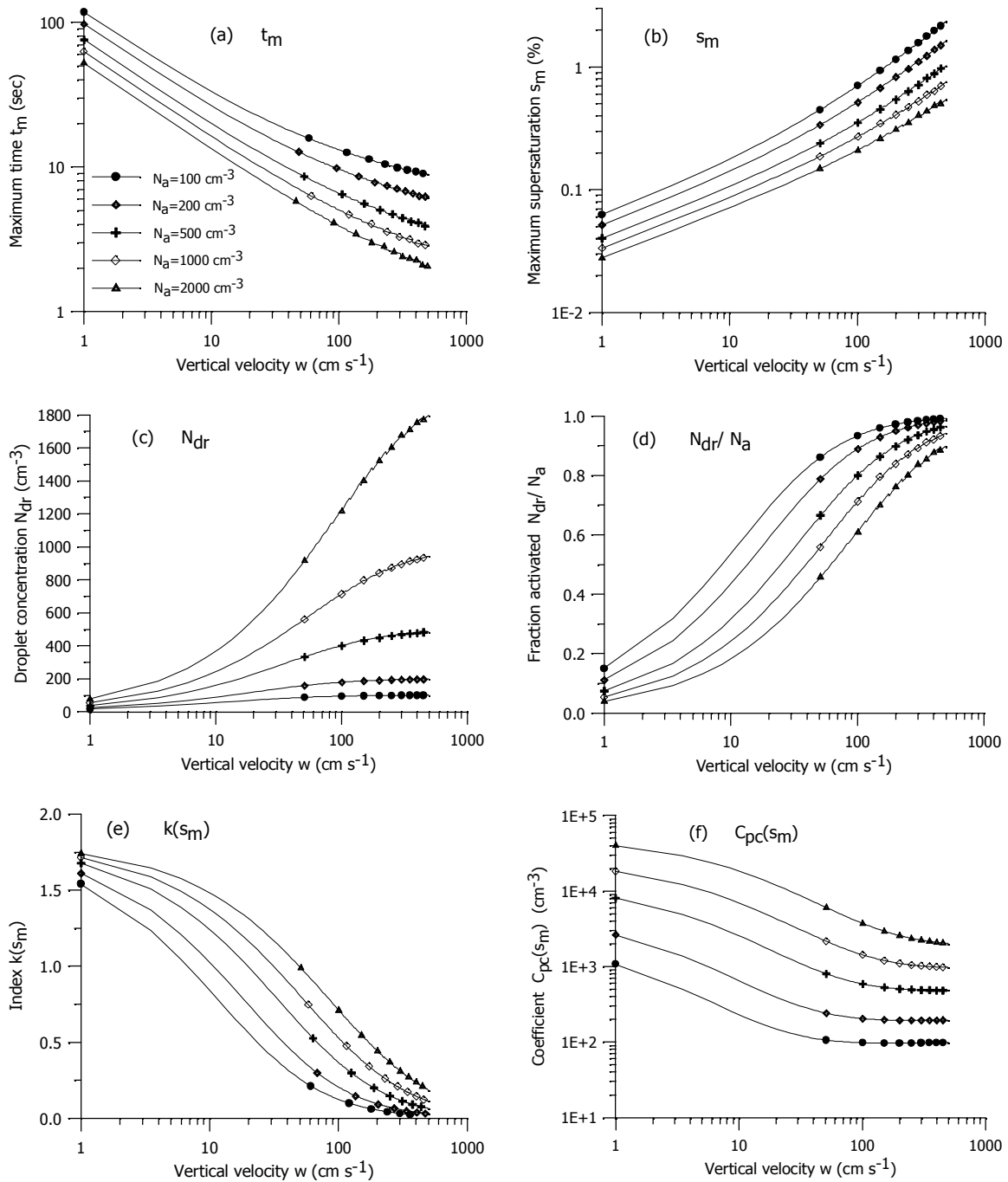


Fig. 6. Effect of variations of CCN concentration  $N_a$  indicated in the legend. (a) maximum time  $t_m$ , sec; (b) supersaturation  $s_m$ , %; (c) droplet concentration  $N_{dr}$ , cm<sup>-3</sup>; (d) fraction activated; (e), index  $k(s_m)$ ; (f) coefficient  $C_{pc}(s_m)$ , cm<sup>-3</sup> as functions of vertical velocity  $w$  calculated with KC06 CCN activity spectrum. The mean geometric radius  $r_{d0} = 0.05$   $\mu\text{m}$ ,  $\sigma_d = 1.8$ ,  $\beta = 0.5$  (volume soluble fraction),  $b = 0.25$  (50 % of ammonium sulfate).

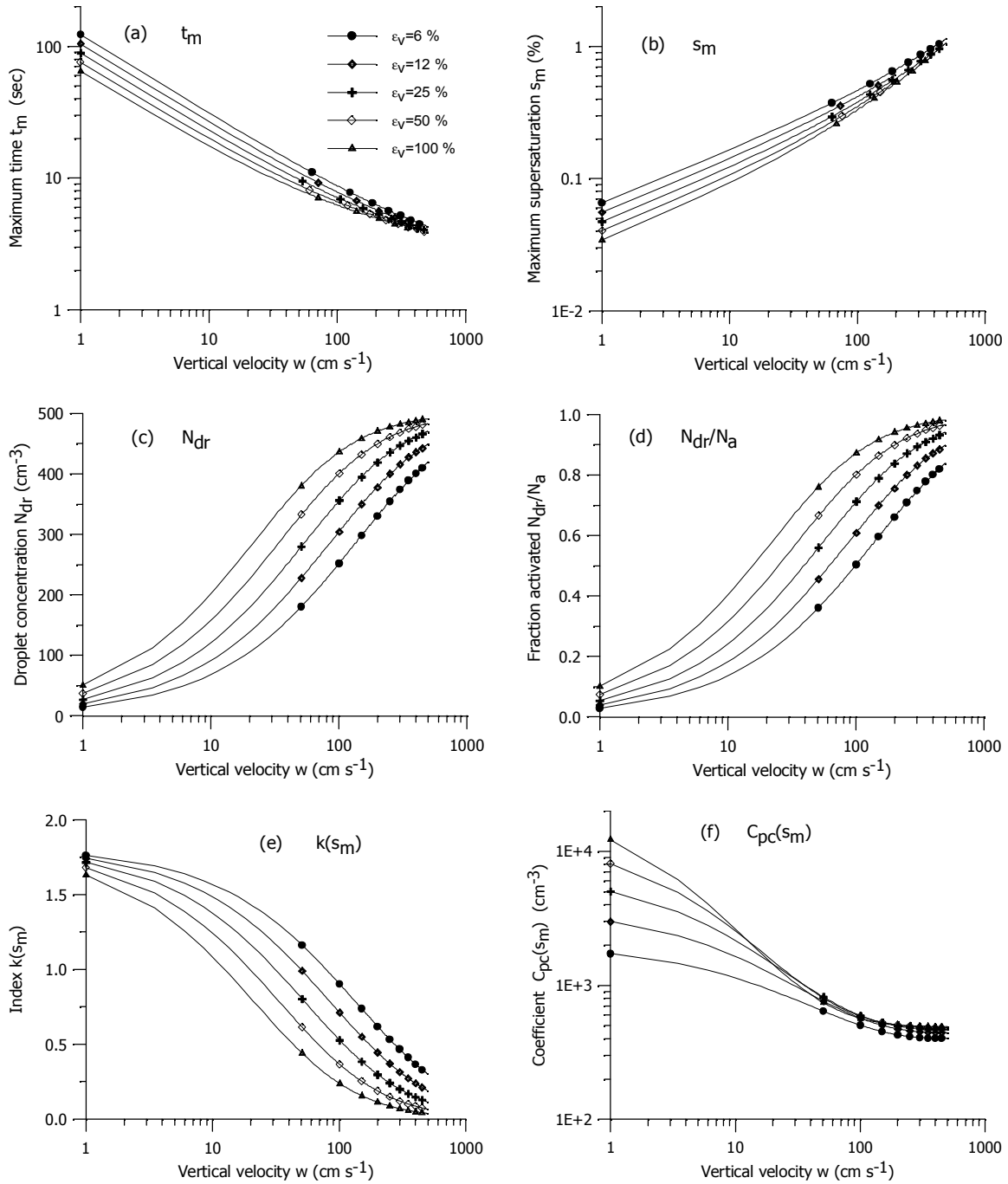


Fig. 7. Effect of variations of soluble fraction  $\epsilon_v$  of ammonium sulfate from 6% to fully soluble nuclei (100%) indicated in the legend. (a) maximum time  $t_m$ , sec; (b) supersaturation  $s_m$ , %; (c) droplet concentration  $N_{dr}$ , cm<sup>-3</sup>; (d) fraction activated; (e), index  $k(s_m)$ ; (f) coefficient  $C_{cp}(s_m)$ , cm<sup>-3</sup> as functions of vertical velocity  $w$  calculated with KC06 CCN activity spectrum. The mean geometric radius  $r_{d0} = 0.05 \mu\text{m}$ ,  $\sigma_d = 1.8$ ,  $\beta = 0.5$  (volume soluble fraction),  $N_a = 500 \text{ cm}^{-3}$ .

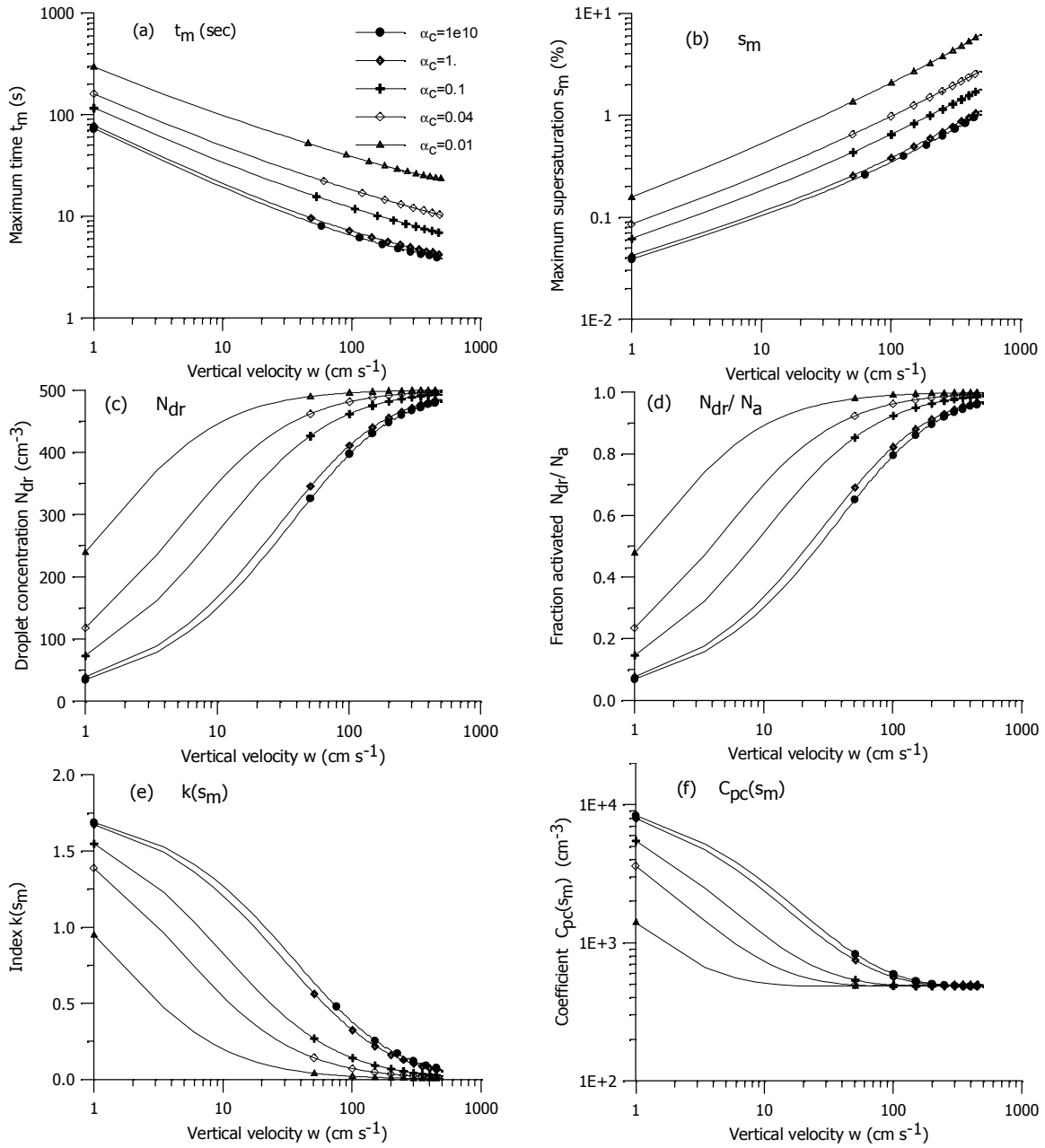


Fig. 8. Effect of variations of condensation coefficient  $\alpha_c$  indicated in the legend ( $\alpha_c = 10^{10}$  corresponds to  $\xi = 0$ ). (a) maximum time  $t_m$ , sec; (b) supersaturation  $s_m$ , %; (c) droplet concentration  $N_{dr}$ ,  $\text{cm}^{-3}$ ; (d) fraction activated; (e), index  $k(s_m)$ ; (f) coefficient  $C_{pc}(s_m)$ ,  $\text{cm}^{-3}$  as functions of vertical velocity  $w$  calculated with KC06 CCN activity spectrum. The mean geometric radius  $r_{d0} = 0.05 \mu\text{m}$ ,  $\sigma_d = 1.8$ ,  $\beta = 0.5$  (volume soluble fraction),  $b = 0.25$  (50 % of ammonium sulfate), CCN concentration is  $N_a = 500 \text{ cm}^{-3}$ .

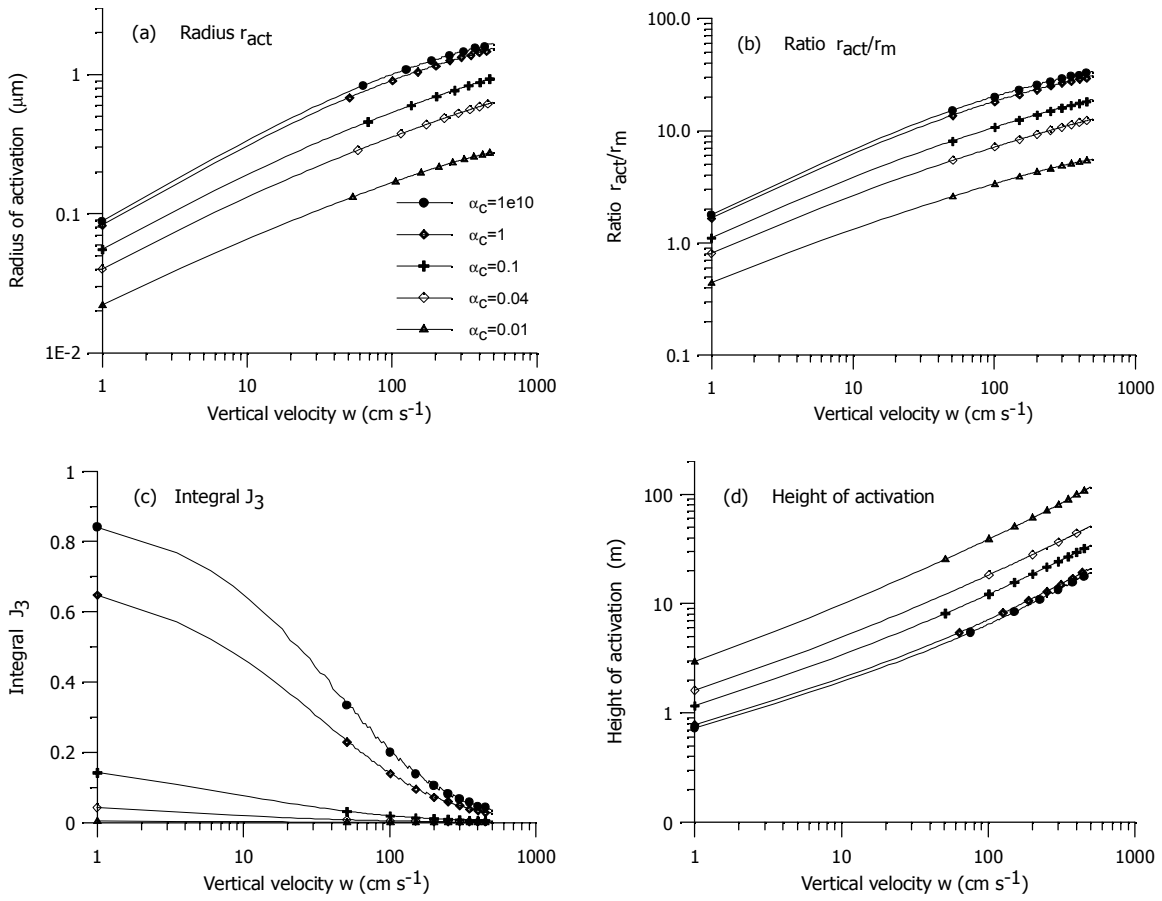


Fig. 9. Effect of variations of condensation coefficient  $\alpha_c$  indicated in the legend ( $\alpha_c = 10^{10}$  corresponds to  $\xi = 0$ ). (a) effective radius of activation  $r_{act}$  (integral  $J_0$ ); (b) ratio  $r_{act}/r_m$ ; (c) Integral  $J_3$ ; (d) height of activation  $H_{lift}$ ; input parameters are the same as in Fig. 8.

( $\alpha_c = 10^{10}$  corresponds to  $\xi = 0$ ).